



Schedule of Client Fees

Annual Fee by Account Type		Amount
Investment Account Inactivity Fee (Standard Brokerage/Advantage Basic)		\$40
Custodial (UGMA/UTMA) Account Inactivity Fee		\$30
Advantage Account - Check Writing w/Debit Card		\$125
Advantage Basic - Check Writing w/o Debit Card		No Additional Charge
Custodian IRA		
• Annual Maintenance		\$50
• Termination Fee		\$125
Transaction Service Fees		Amount
Postage and Handling - Trade Confirmation		\$3.50
Account Transfer to Another Firm (ACAT)		\$125
Domestic Wire Transfers		\$25
International Wire Transfers		\$25
Return of Deposited Checks		\$10
Insufficient Funds (Advantage Checks drawn on account)		\$20
Stop Payment of Client issued checks Advantage		\$25
Other Fees		Amount
Physical Certificate Issuance (shares re-registered and mailed to client)		1. Standard Delivery (pass through DTC charges) 2. Rush Delivery (pass through DTC & transfer agent fees) 3. Re-registration of physical cert (\$125 per item) 4. GNMA certificate issuance (\$35 per item)
Rejection of ineligible physical certificates presented for deposit		\$50
Direct Registration Reject Fee		\$75
Replacement of Securities Lost by Customer		\$100 plus transfer bonding
Safekeeping Charge		1. Initial presentment fee (\$500) 2. Annual fee (\$150 per position)
Direct Participation Program		\$100 annually
Reorganization Activity		1. No fee for non-physicals 2. Instructions received after expiration (\$100 per item)
Overnight Express Mail		\$15
Prepay Margin Fees - Trades		Margin Interest Rate
Qualified Retirement Plans		Pass through

With any of the client services items listed above, there may be certain instances where additional fees apply and will be charged and priced based on the situation. Fees that are directly passed on from vendors are subject to change without notice.