



## Order Flow Disclosure

SEC Rule 606 requires broker-dealers to disclose their practices for routing customer orders in equity and option securities. Lombard Securities Incorporated (“LSI”) is an introducing broker-dealer routing all equity and option orders to our primary custodian and clearing firm, First Clearing, a trade name used by Wells Fargo Clearing Services, LLC, Member SIPC, a registered broker dealer and non-bank affiliate of Wells Fargo & Company (“WFCS”). You may access WFCS’ quarterly “Order Routing Report” through this web link: [Rule 606 Disclosure of Routing Information](#)

With the exception of our clearing broker/introducing broker relationship, we have no material relationships with any of the venues referenced in WFCS’ 606 reports. We do not receive payment for order flow. To receive these quarterly reports free of charge please submit your request to: [twelker@golombard.com](mailto:twelker@golombard.com).